

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U25111GJ1999PLC036163

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACU4076B

(ii) (a) Name of the company

UMA CONVERTER LIMITED

(b) Registered office address

BLOCK NO. 868, NR. CNG PETROL PUMP, SANTEJ ROAD,  
VILLAGE:- SANTEJ, TALUKA:- KALOL,  
KALOL  
Gandhinagar  
Gujarat  
382721

(c) \*e-mail ID of the company

accounts@umaconverter.com

(d) \*Telephone number with STD code

9327103652

(e) Website

www.umaconverter.com

(iii) Date of Incorporation

18/06/1999

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD  
SAKINAKA

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

(e) Extended due date of AGM after grant of extension

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C4	Printing, reproduction of recorded media	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

**(i) \*SHARE CAPITAL****(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	15,000,000	14,694,286	14,694,286	14,694,286
Total amount of equity shares (in Rupees)	150,000,000	146,942,860	146,942,860	146,942,860

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	15,000,000	14,694,286	14,694,286	14,694,286
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	150,000,000	146,942,860	146,942,860	146,942,860

**(b) Preference share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	6,479,998	64,799,980	64,799,980	

<b>Increase during the year</b>	8,214,288	82,142,880	82,142,880	0
i. Public Issues	0	0	0	0
ii. Rights issue	862,290	8,622,900	8,622,900	
iii. Bonus issue	6,479,998	64,799,980	64,799,980	
iv. Private Placement/ Preferential allotment	872,000	8,720,000	8,720,000	
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
Nil				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
Nil				
<b>At the end of the year</b>	14,694,286	146,942,860	146,942,860	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
Nil				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
Nil				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input style="width: 95%;" type="text"/>
Date of registration of transfer (Date Month Year)	<input style="width: 95%;" type="text"/>
Type of transfer	<input style="width: 100px; height: 20px;" type="text"/> 1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input style="width: 150px; height: 25px;" type="text"/> Amount per Share/ Debenture/Unit (in Rs.) <input style="width: 150px; height: 25px;" type="text"/>
Ledger Folio of Transferor	<input style="width: 95%;" type="text"/>

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			496,522,061
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			49,065,878
Deposit			0
<b>Total</b>			<b>545,587,939</b>

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

1,035,417,477

**(ii) Net worth of the Company**

324,895,941

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	13,821,966	94.06	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others 0	0	0	0	
	<b>Total</b>	13,821,966	94.06	0	0

**Total number of shareholders (promoters)**

6

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	780,000	5.31	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	



2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	92,320	0.63	0	
10.	Others	0	0	0	
	<b>Total</b>	872,320	5.94	0	0

**Total number of shareholders (other than promoters)**

34

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

40

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	6	6
Members (other than promoters)	34	34
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

<b>A. Promoter</b>	3	0	3	0	83.88	0
<b>B. Non-Promoter</b>	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>83.88</b>	<b>0</b>

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

8

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUMER RAJ LODHA	00033283	Managing Director	4,044,188	
NIRMALA LODHA	00033246	Director	5,645,101	
ABHISHEK SUMERRA.	07106811	Director	2,636,214	
ASHOK RUPLAL KAVD	00054601	Director	0	
MAHENDRA BHANSAL	02089742	Director	0	
MOHIT RAJENDRA ME	08204107	Director	0	
ASHISH BHANDARI	AFRPB9979H	CFO	8,000	
PARTH KAMDAR	BSTPK0882Q	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
DHAVAL PATEL	DDSP2776N	Company Secretar	18/05/2019	Cessation
PARTH KAMDAR	BSTPK0882Q	Company Secretar	19/07/2019	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

3

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
EGM	24/04/2019	7	6	99.99
EGM	26/04/2019	7	6	99.99
AGM	12/08/2019	40	8	93.78

### B. BOARD MEETINGS

\*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	05/04/2019	6	6	100
2	24/04/2019	6	3	50
3	26/04/2019	6	3	50
4	10/05/2019	6	6	100
5	18/05/2019	6	3	50
6	01/07/2019	6	6	100
7	19/07/2019	6	6	100
8	05/09/2019	6	6	100
9	09/10/2019	6	6	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
10	19/12/2019	6	3	50
11	28/01/2020	6	3	50
12	03/03/2020	6	3	50

### C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	05/04/2019	3	3	100
2	Audit Committee	10/05/2019	3	3	100
3	Audit Committee	19/07/2019	3	3	100
4	Audit Committee	05/09/2019	3	3	100
5	Nomination & I	19/07/2019	3	3	100
6	CSR Committee	21/03/2020	3	3	100

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/12/2020
								(Y/N/NA)
1	SUMER RAJ L	13	13	100	1	1	100	Yes
2	NIRMALA LOI	13	13	100	1	1	100	Yes
3	ABHISHEK SU	13	13	100	0	0	0	Yes
4	ASHOK RUPL	13	6	46.15	6	6	100	No
5	MAHENDRA E	13	6	46.15	5	5	100	No
6	MOHIT RAJEN	13	6	46.15	5	5	100	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL** Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sumer Raj Lodha	Managing Director	8,400,000	0	0	0	8,400,000
	Total		8,400,000	0	0	0	8,400,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ashish Bhandari	CFO	937,199	0	0	0	937,199
2	Dhaval Patel	Company Secretary	28,142	0	0	0	28,142
3	Parth Kamdar	Company Secretary	279,452	0	0	0	279,452
	Total		1,244,793	0	0	0	1,244,793

Number of other directors whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Nirmala Lodha	Director	7,500,000	0	0	0	7,500,000
2	Abhishek Lodha	Director	6,900,000	0	0	0	6,900,000
	Total		14,400,000	0	0	0	14,400,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

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(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow  Associate  Fellow

Certificate of practice number

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director 

DIN of the director

To be digitally signed by



Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

List of Shareholders\_As on 31-03-2020\_U  
ROC Approval\_AGM Extention\_Gujarat\_0  
DETAILS OF MEETINGS HELD\_UMA\_20  
UDIN\_MGT-7\_UMA\_2020.pdf  
MGT-8\_UMA\_CONVERTER\_2020.pdf

**Remove attachment**

**Modify**

**Check Form**

**Prescrutiny**

**Submit**

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**Subject: UDIN generation**

<donotreply@icsi.edu>

Sent: Tue, 23 Feb 2021 18:54:38 GMT+0530

To: <BHARATKUMAR\_TANK@REDIFFMAIL.COM>

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UDIN GENERATED SUCCESSFULLY

<b>Membership Number</b>	A20605
<b>UDIN Number</b>	A020605B003341604
<b>Name of the Company</b>	UMA CONVERTER LIMITED
<b>CIN Number</b>	U25111GJ1999PLC036163
<b>Financial Year</b>	2020-21
<b>Document Type(Certificates)</b>	Certification of Annual Return in Form MGT-7 under Section 92(1) of Companies Act, 2013
<b>Document Description</b>	Certification of Annual Return in Form MGT-7 under Section 92(1) of Companies Act, 2013
<b>Date of signing documents</b>	23/02/2021

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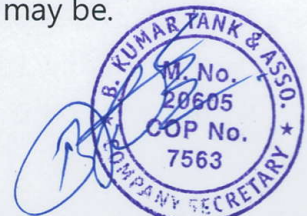
**Form No. MGT-8**

[Pursuant to section 92(2) of the Companies Act, 2013 and  
Rule 11(2) of Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **UMA CONVERTER LIMITED (CIN: U25111GJ1999PLC036163)** as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made there under for the financial year ended on **31/03/2020**. In my opinion and to the best of my information and according to the examination carried-out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. Its status under the Act;
  2. Maintenance of registers/records & making entries therein within the time prescribed therefore;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, ~~the Tribunal, Court or other authorities~~ within the prescribed time and in case of delay, filed with additional fees. As certified by Management, the Company was not required to file any other forms and return with Regional Director, Central Government, Tribunals, Court or any other authorities during the period under review.
  4. calling/ convening/ holding meetings of Board of Directors, its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and ~~resolutions passed by postal ballot~~, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.
  5. Closure of Register of Members/ Security holders, as the case may be.



6. The Company has not advanced/given loans to its directors and/or persons or firms or companies referred in Section 185 of the Act;
7. The Company has complied with the provisions of section 188 of the Act with regards to the related party transaction (Contracts/arrangements with related parties).
8. ~~Issue or allotment or transfer or transmission or buy back of securities / redemption of preference shares or debentures / alteration or reduction of share capital / conversion of shares/ securities~~ and issue of security certificates in all instances wherever applicable: During the Year, Company has allotted **(1) 64,79,998** equity shares of **Rs. 10/-** as fully paid bonus shares in the proportion of 1 equity shares for every 1 equity shares held by the existing shareholders of the Company as on **24.04.2019**. **(2) 8,72,000** equity shares of face value of **Rs. 10/-** each at a premium of **Rs. 15/-** each aggregating to **Rs. 2,18,00,000/-** on private placement basis on **01.07.2019** and **(3) 8,62,290** equity shares of face value of Rs. 10/- each at a premium of Rs. 15/- each aggregating to **Rs. 2,15,57,250/-** on right issue basis on **21.03.2020** with Due Compliance.
9. There was no transaction necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.
10. The Company has not declared or made payment of dividend during the year under review and Company is not required to transfer unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act during the year under review.
11. The Company has complied with the provision related to signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. The Company has complied with the provision related to constitution / appointment / re-appointments / ~~retirement/ filling up casual vacancies~~ / disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. The Company has complied with the provision related to ~~Appointment/ Ratification/reappointment (Disclosure in Director Report for ratification)/ filling up casual vacancies~~ of auditors as per the provisions of section 139 of the Act.



14. As per our information, Company is not required to take any approval from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. The Company has not invited/ accepted/ renewed any deposits including unsecured loans falling within the purview of Section 73 of the Act during the year under review.
16. The Company has complied with the provision in relation to the borrowings from its directors, ~~members~~, public financial institutions, banks and ~~others~~ and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. The Company has not made/ given any loan, guarantee, or provided any security during the year under review Pursuant to Section 186 of the Act. However, Company has made an investment of **Rs. 23,83,200/-** for **23,832** equity shares of **Rs. 100/-** each fully paid up in Cosmos Bank Limited as a part of the condition of the various credit facilities granted by the said bank Company as on **31<sup>st</sup> March, 2020**.
18. The Company has not altered its MOA/AOA during the year under review.

**FOR, B. KUMAR TANK & ASSOCIATES  
(Practicing Company Secretary)**



**BHARATKUMAR TANK  
(Proprietor)**

**M. No. 20605, COP 7563  
UDIN: A020605B003329152**

**Date: 23/02/2021  
Place: Ahmedabad**



# UMA CONVERTER LIMITED

AN ISO 9001:2015 & ISO 22000:2005 CERTIFIED COMPANY

PACKAGING PROFESSIONALS



## List of shareholders along with Shareholding Pattern as on 31.03.2020

Sr. No	Name And Address Of Share Holders	No. Of Shares Held	% of Holding
1	<b>Sumer Raj Lodha</b> C-3/42, Goyal Intercity, Drive - In - Road, Ahmedabad - 380054, Gujarat, India	4044188	27.52
2	<b>Nirmala Lodha</b> C-3/42, Goyal Intercity, Drive - In - Road, Ahmedabad - 380054, Gujarat, India	5645101	38.42
3	<b>Abhishek Lodha</b> C-3/42, Goyal Intercity, Drive - In - Road, Ahmedabad - 380054, Gujarat, India	2636214	17.94
4	<b>Chartered Carrier Limited (Now Chartered Logistics Limited)</b> Chartered House,6,Dada Estate, Sarkhej- Sanand Chokdi,Sarkhej Ahmedabad 382210	320	0.00
5	<b>Shradha Abhishek Lodha</b> C-3/42, Goyal Intercity, Drive-In- Road, Ahmedabad-380054, Gujarat, India	752000	5.12
6	<b>Sumer Raj Lodha and Sons (HUF)</b> C-3/42, Goyal Intercity, Drive-In- Road, Ahmedabad-380054, Gujarat, India	584463	3.98
7	<b>Abhishek Lodha and Sons (HUF)</b> C-3/42, Goyal Intercity, Drive-In- Road, Ahmedabad 380054, Gujarat, India	160000	1.09
8	<b>Shyamlal Jekchand Bothra</b> E-27, Shastri Nagar, Opp. Tarabai Eye Hospital, Jodhpur - 342003, Rajasthan	100000	0.68
9	<b>Liladevi Shayamlal Bothra</b> E-27, Shastri Nagar, Opp. Tarabai Eye Hospital, Jodhpur - 342003, Rajasthan	100000	0.68

**MANUFACTURER OF FLEXIBLE PACKAGING MATERIAL**

**Regd. Office & Communication :** Block No. 868 ,Village - Santej, Nr. CNG Petrol Pump, Santej Road, Taluka - Kalol,  
Dist - Gandhinagar, Gujarat, Pin- 382 721 **Phone :** +91 93271 03652

**Marketing Office :** A/36, Circle - B, 4th Floor, S.G. Highway, Bodakdev, Ahmedabad - 380 015, Gujarat, Phone : +91 79 26872584

**Website :** www.umaconverter.com, **E-mail :** Info@umaconverter.com **CIN No. :** U25111GJ1999PLC036163

10	<b>Kalpvrux Converting Products Pvt. Ltd.</b> Plot No. C1-B642, GIDC, Makarpura, Vadodara - 390010.	20000	0.14
11	<b>Amisha Umang Shethia</b> A/114, Rajanibaug Society, Sarvoday Nagar, Part-II, Sola Road, Ahmedabad - 380061.	20000	0.14
12	<b>Falguni Hamir Mehta</b> 17, Nilkanth Bungalows, Ramdev nagar, Satellite, Ahmedabad - 380015	20000	0.14
13	<b>Sunnybhai Jyotishkumar Patel</b> A-503, Singor Residency, Satellite, Ahmedabad - 380015.	8000	0.05
14	<b>Archita Rohit Bhansali</b> A-42, Kamla Nehru Nagar, Opp. Shubham Hospital, Jodhpur - 342004, Rajasthan	20000	0.14
15	<b>Jagdish Dharamchand Ranka HUF</b> 37/A, Hindu Colony, Housing Society, Navrangpura, Ahmedabad - 380009.	40000	0.27
16	<b>Prakash Dharamchandji Ranka HUF</b> 2-Riddhi Bungalows, Opp. Ashirwad Society, Ahmedabad - 380051.	40000	0.27
17	<b>Sejal Atul Patel</b> Amarjyot Prerna Park Society, Opp. LG Hospital, Maninagar, Ahmedabad - 380008.	5000	0.03
18	<b>Rakesh Dahyabhai Patel</b> 48, Jagabhai Park, LG Hospital Road, Maninagar, Ahmedabad - 380008.	5000	0.03
19	<b>Rita Rakesh Patel</b> 48, Jagabhai Park, LG Hospital Road, Maninagar, Ahmedabad - 380008.	5000	0.03
20	<b>Riddhi Bharatbhai Shrimanker</b> Pelican Villa, Neel City Area, B/h Indranil rajguru bungalows, University Road, Rajkot - 360005.	40000	0.27

21	<b>Shilpaben Bharatbhai Shrimanker</b> Pelican Villa, Neel City Area, B/h Indranil rajguru bungalows, University Road, Rajkot - 360005.	40000	0.27
22	<b>Shyam Umraochand Kumbhat</b> 3, Labungalow, Ranikbaug, Opp. Rajamata Krishna Kumari School, Jodhpur - 342001, Rajasthan.	100000	0.68
23	<b>Darshna Ashish Raval</b> B-7, Setu bungalows, Hasubhai park, Jodhpur-Satellite, Ahmedabad - 380015.	4000	0.03
24	<b>Urmila Chandrakant Shah</b> D/403, Dhananjaya Towers, 100 Ft. Ring Road, Shyamal Row House, Satellite, Ahmedabad - 380015	16000	0.11
25	<b>Aruna Rajendra Mehta</b> 11 Haridwar Appartment, 23 Patel Society, Gulbaitekra, Panchvati, Ahmedabad - 380006.	8000	0.05
26	<b>Darshan Bipinchandra Shah</b> F-119, Parekhwada, Balasinor, Kheda - 388255, Gujarat.	4000	0.03
27	<b>Kunal Nandlal Agrawal</b> 161/163 Satyawati Agrawal Farm, Nr. Shashwat Bungalows, Ahmedabad - 380059.	20000	0.14
28	<b>D &amp; A Financial Services Pvt. Ltd.</b> 13, Community Centre, East of Kailash, New Delhi - 110065	52000	0.35
29	<b>Rekha MK Doogar</b> B-515, Saritavihar, New Delhi - 110076.	28000	0.19
30	<b>Jyotsana Bharkumar Tank</b> 72, Vardhman Flats, Opp. Badshah Dairy, Paldi, Ahmedabad - 380007.	4000	0.03
31	<b>Ashish Villam Chand Bhandari</b> F-704, Savvy Swaraj Phase 2, Jagatpur Road, Gota, Daskroi, Ahmedabad - 382481.	8000	0.05

32	<b>Kriti Dipeshkumar Roy</b> C-304, Sector-4, Suncity, Bopal, Ahmedabad – 380058.	4000	0.03
33	<b>Disha Sidhartha Doogar</b> B-22, Friends Colony, West New Friend Colony, New Delhi – 110025.	20000	0.14
34	<b>Atul Dahyabhai Patel</b> Amarjyot prerna Park Society, Opp. LG Hospital, Maninagar, Ahmedabad – 380008.	5000	0.03
35	<b>Ketan Bhailalbhai Patel</b> 55, Sarvoday Nagar-1, Sola Road, Ahmedabad – 380061.	20000	0.14
36	<b>Raghav Tradelink Pvt. Ltd.</b> S/2 Norquest House, Opp. Medisurge Hospital, Mithakhali Six Roads, Ellisbridge, Ahmedabad- 380009.	20000	0.14
37	<b>Pinky Manish Desai</b> A-12A, Sharanam -10, 10 Ft Road, Nr. Anandnagar, Prahaladnagar, Ahmedabad – 380051.	6000	0.04
38	<b>Bharat Girdharilal Meghani</b> Laxmi krupa Saurabh Society, Amul Dairy Road, Anand – 38801.	40000	0.27
39	<b>Ganpat Surajmal Bhansali</b> Plot No. 4F-9, Shsattrinagar, New Power House Road, Jodhpur – 342003, Rajasthan	10000	0.07
40	<b>Harish Ratansinh Rathod</b> 4, Surya Villa Residency, Vinukamarg, Bakrol, Anand-388315.	40000	0.27
<b>Total No. of Shares</b>		<b>14694286</b>	<b>100.00</b>

For Uma Converter Limited

*Abhishek Lodha*

**Abhishek Lodha**

**Director**

**DIN: 07106811**



## DETAILS OF MEETING HELD DURING THE YEAR

S. NO.	DATE OF MEETING	TOTAL NUMBER OF DIRECTORS ASSOCIATED AS ON THE DATE OF MEETING	ATTENDANCE	
			NUMBER OF DIRECTORS ATTENDED	% OF ATTENDANCE
1	05/04/2019	6	6	100
2	24/04/2019	6	3	50
3	26/04/2019	6	3	50
4	10/05/2019	6	6	100
5	18/05/2019	6	3	50
6	01/07/2019	6	6	100
7	19/07/2019	6	6	100
8	05/09/2019	6	6	100
9	09/10/2019	6	6	100
10	19/12/2019	6	3	50
11	28/01/2020	6	3	50
12	03/03/2020	6	3	50
13	21/03/2020	6	3	50